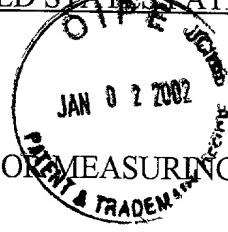


*sector \$*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: David B. Kay et al.

Title: SYSTEM AND METHOD FOR MEASURING THE QUALITY OF INFORMATION RETRIEVAL

Docket No.: 1546.007US1

Serial No.: 09/911,839 ✓

Filed: July 23, 2001

Due Date: October 31, 2001

Examiner: Unknown

Group Art Unit: 2152

Attn: Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

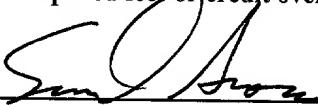
We are transmitting herewith the attached:

- A return postcard.
- A check in the amount of \$65.00 to cover the Missing Parts Fee.
- A check in the amount of \$412.00 to cover the Basic Filing Fee and Additional Claims Fee.
- Communication Re: Missing Parts (1 pg.)
- A signed Combined Declaration and Power of Attorney ( 4 pgs.).
- Notice to File Missing Parts (1pg.)
- Communication Re: Corrected Application Papers (1 pg.)
- Formal Drawings (4 pgs.).

**Applicant claims small entity status under 37 C.F.R. 1.27.**

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

**SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.**  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By:   
Name: Suneel Arora  
Reg. No. 42,267  
SA:CMG:eml

**CERTIFICATE UNDER 37 CFR 1.8:** The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 31 day of October, 2001.

Emily Legendre  
Name

Emily Legendre  
Signature

S/N 09/911,839



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David B. Kay et al. Examiner: Unknown  
Serial No.: 09/911,839 Group Art Unit: 2152  
Filed: July 23, 2001 Docket: 1546.007US1  
Title: SYSTEM AND METHOD FOR MEASURING THE QUALITY OF  
INFORMATION RETRIEVAL

COMMUNICATION RE: MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$65.00 to cover the small entity surcharge and a check in the amount of \$412.00 to cover the small entity basic filing fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6951.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

DAVID B. KAY ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6951

Date 10/31/01

By Suneel Arora

Suneel Arora  
Reg. No. 42,267  
SA:CMG:eml

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 31 day of October, 2001.

Name Emily Legendre

Signature Emily Legendre



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/911,839	07/23/2001	David B. Kay	1546.007US1

## CONFIRMATION NO. 3919

## FORMALITIES LETTER



\*OC00000006502201\*

Schwegman, Lundberg, Woessner & Kluth, P.A.  
 P.O. Box 2938  
 Minneapolis, MN 55402

Date Mailed: 08/31/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$40.
  - \$40 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 460.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

01/04/2002 BNGVEN1 00000071 09911839  
 65.00 00  
 370.00 00  
 42.00 00  
 01 FB:205  
 02 FC:201  
 03 FC:202

*A copy of this notice **MUST** be returned with the reply.*

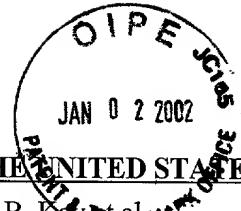
CK

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

S/N 09/911,839



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David B. Kay et al. Examiner: Unknown  
Serial No.: 09/911,839 Group Art Unit: 2152  
Filed: July 23, 2001 Docket: 1546.007US1  
Title: SYSTEM AND METHOD FOR MEASURING THE QUALITY OF INFORMATION  
RETRIEVAL

COMMUNICATION RE: CORRECTED APPLICATION PAPERS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" which indicated "Corrected Papers" additionally required, enclosed are 4 pages of Formal Drawings.

Applicants assume the application is now in proper order and in condition for examination.

Please direct any inquiries to the undersigned attorney at (612) 373-6951.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No.

19-0743.

Respectfully submitted,

DAVID B. KAY ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6951

Date 10/31/01 By Suneel Arora  
Suneel Arora  
Reg. No. 42,267  
SA:CMG:eml

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as first  
class mail and is being forwarded to Assistant  
Commissioner for Patents, Washington, D.C. 20231.  
on October 31, 2001.

Emily Legendre  
Emily Ryals